

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: NMT-015

APPLICANT(S): Chanduszko et al.

SERIAL NO.: 10/660,444

FILING DATE: September 11, 2003

GROUP: Not yet assigned

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
MTA	Al	US 2001/0037129 A1	11/01/01	Thill	606	213	01/12/01
	A2	US 2002/0099389 A1	07/25/02	Michler et al.	606	139	03/21/02
	А3	US 2002/0128680 A1	09/12/02	Pavlovic	606	200	01/23/02
	A4	US 2002/0183786 A1	12/05/02	Girton	606	213	05/30/01
	A5	US 2002/0183787 AT	12/05/02	Wahr et al.	606	213	06/01/01
	A6	US 2003/0045893 A1	03/06/03	Ginn	606	151	09/06/01
	A7	US 2003/0050665 A1	03/13/03	Ginn	606	215	09/07/01
	A8	3,874,388	04/01/75	King et al.	128	334 R	02/12/73
	A9	4,007,743	02/15/77	Blake	128	334 R	10/20/75
	A10	4,836,204	06/06/89	Landymore et al.	128	334 R	07/06/87
	All	4,985,014	01/15/91	Orejola	600	16	07/11/89
	A12	5,030,199	07/09/91	Barwick et al.	600	29	12/11/89
	A13	5,041,129	08/20/91	Hayhurst et al.	606	232	07/02/90
	A14	5,042,976	08/27/91	Ishitsu et al.	604	96	01/12/88
	A15	5,057,114	10/15/91	Wittich et al.	606	127	09/18/90
	A16	5,073,166	12/17/91	Parks et al.	609	93	02/15/89
	A17	5,108,420	04/28/92	Marks	606	213	02/01/91
	A18	5,112,310	05/12/92	Grobe	604	175	02/06/91
	A19	5,190,528	03/02/93	Fonger et al.	604	171	10/19/90
	A20	5,192,301	03/09/93	Kamiya et al.	606	213	09/03/91
	A21	5,284,488	02/08/94	Sideris	606	213	12/23/92
	A22	5,312,341	05/17/94	Turi	604	96	08/14/92
	A23	5,357,979	10/25/94	Imran	128	772	09/03/93

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			U.S. PATI	ENT DOCUMENTS			
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
MTA	A24	5,403,338	04/04/95	Milo	606	184	01/21/93
	A25	5,425,744	06/20/95	Fagan et al.	606	213	04/18/94
	A26	5,433,727	07/18/95	Sideris	606	213	08/16/94
	A27	5,451,235	09/19/95	Lock et al.	606	213	04/14/94
	A28	5,507,811	04/16/96	Koike et al.	623	11	11/15/94
	A29	5,540,712	07/30/96	Kleshinski et al.	606	198	06/01/94
	A30	5,545,138	08/13/96	Fugoso et al.	604	102	02/28/94
	A31	5,577,299	11/26/96	Thompson et al.	24	131 C	08/26/94
	A32	5,578,045	11/26/96	Das	606	151	08/02/94
	A33	5,634,936	06/03/97	Linden et al.	606	213	02/06/95
	A34	5,683,411	11/04/97	Kavteladze et al.	606	200	04/06/95
	A35	5,702,421	12/30/97	Schneidt	606	213	01/11/96
	A36	5,709,707	01/20/98	Lock et al.	606	213	11/19/96
	A37	5,720,754	02/24/98	Middleman et al.	606	127	04/28/95
	A38	5,725,552	03/10/98	Kotula et al.	606	213	05/14/96
	A39	5,733,294	03/31/98	Forber et al.	606	151	02/28/96
	A40	5,741,297	04/21/98	Simon	606	213	08/28/96
	A41	5,746,765	05/05/98	Kleshinksi et al.	606	198	05/17/96
	A42	5,776,162	07/07/98	Kleshinski	606	198	01/03/97
	A43	5,868,753	02/09/99	Schatz	606	108	11/13/95
	A44	5,879,366	03/09/99	Shaw et al.	606	213	12/20/96
	A45	5,895,404	04/20/99	Ruiz	606	185	09/29/97
	A46	5,902,317	05/11/99	Kleshinski et al.	606	198	08/19/97



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MTA	A47	5,904,703	05/18/99	Gilson	606	213	11/07/97
	A48	5,919,200	07/06/99	Stambaugh et al.	606	159	10/09/98
	A49	5,944,738	08/31/99	Amplatz et al.	606	213	02/06/98
	A50	5,976,174	11/02/99	Ruiz	606	213	12/15/97
	A51	6,007,558	12/28/99	Ravenscroft et al.	606	200	09/25/98
	A52	6,024,756	02/15/00	Huebsch et al.	606	213	12/22/98
	A53	6,030,007	02/29/00	Bassily et al.	289	1.5	07/07/97
	A54	6,030,405	02/29/00	Zarbatany et al.	606	191	06/25/98
	A55	6,056,760	05/02/00	Koike et al	606	148	01/30/98
	A56	6,080,182	06/27/00	Shaw et al.	606	213	12/19/97
	A57	6,086,610	07/11/00	Duerig et al.	623	1	10/22/96
	A58	6,117,159	09/12/00	Huebsch et al.	606	213	12/22/98
7	A59	6,129,755	10/10/00	Mathis et al.	623	1.15	01/09/98
	A60	6,149,664	11/21/00	Kurz	606	194	08/27/98
	A61	6,171,329 B1	01/09/01	Shaw et al.	606	213	08/28/98
	A62	6,174,322 B1	01/16/01	Schneidt	606	213	07/31/98
	A63	6,206,907 B1	03/27/01	Marino et al.	606	215	05/07/99
	A64	6,214,029 B1	04/10/01	Thill et al.	606	213	04/26/00
	A65	6,221,092 B1	04/24/01	Koike et al.	606	213	03/30/99
	A66	6,290,674 B1	09/18/01	Roue et al.	604	107	11/22/99
	A67	6,312,446 B1	11/06/01	Huebsch et al.	606	213	09/12/00
	A68	6,342,064 B1	01/29/02	Koike et al.	606	213	12/22/99
	A69	6,346,074 B1	02/12/02	Roth	600	121	06/12/96
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	DOCUMENT NUMBER							CLASS	SUB CLASS		NG DATE IF ROPRIATE
A70	6,352,531 B1		03/0	5/02	O,C	onnor et al.		606	15	03/2	4/99
A71	6,355,052 B1		03/12	2/02	Neu	ss et al.		606	213	02/0	4/97
A72	6,375,671 B1		04/2	3/02	Kob	ayashi et al		606	213	04/1	7/00
A73	6,379,368 B1		04/3	0/02	Corc	coran et al.		606	153	05/1	3/99
A74	6,440,152 B1		08/2	7/02	Gain	or et al.		606	213	07/2	8/00
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	DOCUMENT NUMBER	DAT	ΓE	COUN	rry	CLASS	SUB CLASS	FILING DATE	ABSTR. ONLY	ACT	ENGLISH LANG (Y/N)
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C5	Sommer, M.D., et a	al., M	ount S	inai Me							
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	A70 A71 A72 A73 A74  B1  C1  C2  C3  C4	DOCUMENT NUMBER  A70 6,352,531 B1  A71 6,355,052 B1  A72 6,375,671 B1  A73 6,379,368 B1  A74 6,440,152 B1  DOCUMENT NUMBER  B1 WO 03/077733 A2  OTHER DOCUMENTS:  C1 "Trans-septal Cathe Safety of a Simplification of the Puncture Need Hawkins, Jr., M.D.  C3 "PFO and Stroke: 1 http://www.endova  C4 "The Puncture Teck Ruiz, M.D., Ph.D.,  C5 "New Transseptal Sommer, M.D., et as Sommer, M.	DOCUMENT   NUMBER     A70   6,352,531 B1     A71   6,355,052 B1     A72   6,375,671 B1     A73   6,379,368 B1     A74   6,440,152 B1     FC	U.S.    DOCUMENT   NUMBER	U.S. PATE    DOCUMENT   NUMBER	U.S. PATENT I  DOCUMENT NUMBER  A70 6,352,531 B1 03/05/02 O'C  A71 6,355,052 B1 03/12/02 Neu:  A72 6,375,671 B1 04/23/02 Kob  A73 6,379,368 B1 04/30/02 Corc  A74 6,440,152 B1 08/27/02 Gair  FOREIGN PATEN  DOCUMENT NUMBER  DOCUMENT NUMBER  DOCUMENT NUMBER  COUNTRY CODE  B1 WO 03/077733 A2 09/25/03 PCT  OTHER ART, JOURN.  OTHER DOCUMENTS: (Including Author, Title Safety of a Simplified Method," by R. De Por 950.  C2 "The Puncture Needle as Guidewire: Needle Hawkins, Jr., M.D., et al., Seminars in Intervence of the Portion of th	APPLICAL SERIAL N FILING D. GROUP: 1  U.S. PATENT DOCUME  DOCUMENT NUMBER  A70 6,352,531 B1 03/05/02 O'Connor et al. A71 6,355,052 B1 03/12/02 Neuss et al. A72 6,375,671 B1 04/23/02 Kobayashi et al. A73 6,379,368 B1 04/30/02 Corcoran et al. A74 6,440,152 B1 08/27/02 Gainor et al.  FOREIGN PATENT DOCU  DOCUMENT DATE COUNTRY CLASS CODE  B1 WO 03/077733 A2 09/25/03 PCT  OTHER ART, JOURNAL ARTI  OTHER DOCUMENTS: (Including Author, Title, Date, Rei Safety of a Simplified Method," by R. De Ponti, et al., E 950.  C2 "The Puncture Needle as Guidewire: Needle Guide Tech Hawkins, Jr., M.D., et al., Seminars in Interventional Ra  C3 "PFO and Stroke: The Hidden Connection," by Paul Kra http://www.endovasculartoday.com/02_current/10.html, C4 "The Puncture Technique: A New Method of Transcather Ruiz, M.D., Ph.D., et al., Catheterization and Cardiovasc  C5 "New Transseptal Puncture Technique for Transcathete Sommer, M.D., et al., Mount Sinai Medical Center, New	APPLICANT(S): C SERIAL NO.: 10/6 FILING DATE: Set GROUP: Not yet as:  U.S. PATENT DOCUMENTS  DOCUMENT NUMBER  A70 6,352,531 B1 03/05/02 O'Connor et al.  A71 6,355,052 B1 03/12/02 Neuss et al.  A72 6,375,671 B1 04/23/02 Kobayashi et al.  A73 6,379,368 B1 04/30/02 Corcoran et al.  A74 6,440,152 B1 08/27/02 Gainor et al.  FOREIGN PATENT DOCUMENTS  DOCUMENT DATE COUNTRY CLASS SUB CLASS  B1 WO 03/077733 A2 09/25/03 PCT  OTHER ART, JOURNAL ARTICLES, E  OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pagents)  C1 "Trans-septal Catheterization for Radiofrequency Catheter Ablation Safety of a Simplified Method," by R. De Ponti, et al., European H 950.  C2 "The Puncture Needle as Guidewire: Needle Guide Technique for Hawkins, Jr., M.D., et al., Seminars in Interventional Radiology, vo.  C3 "PFO and Stroke: The Hidden Connection," by Paul Kramer, MD, http://www.endovasculartoday.com/02_current/10.html, printed 10  C4 "The Puncture Technique: A New Method of Transcatheter Closure Catheter Sommer, M.D., et al., Catheterization and Cardiovascular Intervention, M.D., et al., Catheterization and Cardiovascular Intervention, M.D., et al., Catheterization and Cardiovascular Intervention, M.D., et al., Mount Sinai Medical Center, New York, Nebelieved to be June 2002 or earlier.	APPLICANT(S): Chanduszko SERIAL NO.: 10/660,444 FILING DATE: September 1 GROUP: Not yet assigned  U.S. PATENT DOCUMENTS  DOCUMENT NUMBER  A70 6,352,531 B1 03/05/02 O'Connor et al. 606 A71 6,355,052 B1 03/12/02 Neuss et al. 606 A72 6,375,671 B1 04/23/02 Kobayashi et al. 606 A73 6,379,368 B1 04/30/02 Corcoran et al. 606 A74 6,440,152 B1 08/27/02 Gainor et al. 606 FOREIGN PATENT DOCUMENTS  DOCUMENT NUMBER CODE CLASS SUB FILING DATE B1 WO 03/077733 A2 09/25/03 PCT 03/14/03  OTHER ART, JOURNAL ARTICLES, ETC.  OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Safety of a Simplified Method," by R. De Ponti, et al., European Heart Journa 950.  C2 "The Puncture Needle as Guidewire: Needle Guide Technique for Percutaneon Hawkins, Jr., M.D., et al., Seminars in Interventional Radiology, vol. 4, No. 2 C3 "PFO and Stroke: The Hidden Connection," by Paul Kramer, MD, Endovascu http://www.endovasculartoday.com/02_current/10.html, printed 10/09/03.  C4 "The Puncture Technique: A New Method of Transcatheter Closure of Patent Follows Ruiz, M.D., et al., Catheterization and Cardiovascular Interventions, vol. "New Transseptal Puncture Technique for Transcatheter Closure of Patent Follows Ruiz, M.D., et al., Mount Sinai Medical Center, New York, New York, Puncture, M.D., et al., Mount Sinai Medical Center, New York, New York, Puncture Technique for Transcatheter Closure of Patent Follows Ruiz, M.D., et al., Mount Sinai Medical Center, New York, New York, Puncture Technique for Transcatheter Closure of Patent Follows Ruiz, M.D., et al., Mount Sinai Medical Center, New York, New York, Puncture Technique for Transcatheter Closure of Patent Follows Ruiz, M.D., et al., Mount Sinai Medical Center, New York, New York, Puncture Technique for Transcatheter Closure of Patent Follows Ruiz, M.D., et al., Mount Sinai Medical Center, New York, New York, Puncture Technique for Transcatheter Closure of Patent Follows Ruiz, M.D., et al., Mount Sinai Medical Center, New York, Puncture York, Puncture Technique for Transcatheter	APPLICANT(S): Chanduszko et al.  SERIAL NO.: 10/660,444  FILING DATE: September 11, 2003  GROUP: Not yet assigned  U.S. PATENT DOCUMENTS  DOCUMENT NUMBER  A70 6,352,531 B1 03/05/02 O'Connor et al. 606 15  A71 6,355,052 B1 03/12/02 Neuss et al. 606 213  A72 6,375,671 B1 04/23/02 Kobayashi et al. 606 153  A73 6,379,368 B1 04/30/02 Corcoran et al. 606 153  A74 6,440,152 B1 08/27/02 Gainor et al. 606 213  FOREIGN PATENT DOCUMENTS  DOCUMENT NUMBER CODE CLASS SUB FILING ABSTR. CODE CLASS DATE ONLY  B1 WO 03/077733 A2 09/25/03 PCT 03/14/03  OTHER ART, JOURNAL ARTICLES, ETC.  OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publicated Company of a Simplified Method," by R. De Ponti, et al., European Heart Journal, vol. 19, 1950.  C2 "The Puncture Needle as Guidewire: Needle Guide Technique for Percutaneous Nephros Hawkins, Jr., M.D., et al., Seminars in Interventional Radiology, vol. 4, No. 2, June 198: "PFO and Stroke: The Hidden Connection," by Paul Kramer, MD, Endovascular Today, http://www.endovasculartoday.com/02_current/10.html, printed 10/09/03.  C4 "The Puncture Technique: A New Method of Transcatheter Closure of Patent Foramen Ovascular, M.D., et al., Catheterization and Cardiovascular Interventions, vol. 53, 200  C5 "New Transseptal Puncture Technique for Transcatheter Closure of Patent Foramen Ovascunrent, M.D., et al., Catheterization and Cardiovascular Interventions, vol. 53, 200  C6 "New Transseptal Puncture Technique for Transcatheter Closure of Patent Foramen Ovascunrent, M.D., et al., Mount Sinai Medical Center, New York, New York, publication of Surmer, M.D., et al., Mount Sinai Medical Center, New York, New York, publication of Surmer, M.D., et al., Mount Sinai Medical Center, New York, New York, publication of Surmer, M.D., et al., Surmer R.D. et al., Catheterization and Cardiovascular Interventions.	APPLICANT(S): Chanduszko et al.  SERIAL NO.: 10/660,444  FILING DATE: September 11, 2003  GROUP: Not yet assigned  U.S. PATENT DOCUMENTS  DOCUMENT NUMBER  A70 6,352,531 B1 03/05/02 O'Connor et al. 606 15 03/2  A71 6,355,052 B1 03/12/02 Neuss et al. 606 213 02/0  A72 6,375,671 B1 04/23/02 Kobayashi et al. 606 213 04/1  A73 6,379,368 B1 04/30/02 Corcoran et al. 606 153 05/1  A74 6,440,152 B1 08/27/02 Gainor et al. 606 153 05/1  A74 6,440,152 B1 08/27/02 Gainor et al. 606 213 07/2  FOREIGN PATENT DOCUMENTS  DOCUMENT NUMBER  DATE COUNTRY CLASS SUB FILING ABSTRACT ONLY  B1 WO 03/077733 A2 09/25/03 PCT 03/14/03  OTHER ART, JOURNAL ARTICLES, ETC.  OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)  C1 "Trans-septal Catheterization for Radiofrequency Catheter Ablation of Cardiac Arrhythmias. R Safety of a Simplified Method," by R. De Ponti, et al., European Heart Journal, vol. 19, June 15 950.  C2 "The Puncture Needle as Guidewire: Needle Guide Technique for Percutaneous Nephrostomy, Hawkins, Jr., M.D., et al., Seminars in Interventional Radiology, vol. 4, No. 2, June 1987, pp. 1  C3 "PFO and Stroke: The Hidden Connection," by Paul Kramer, MD, Endovascular Today, http://www.endovasculartoday.com/02_current/10.html, printed 10/09/03.  C4 "The Puncture Technique: A New Method of Transcatheter Closure of Patent Foramen Ovale," by Sormere, M.D., et al., Catheterization and Cardiovascular Interventions, vol. 53, 2001, pp. 3  C5 "New Transseptal Puncture Technique for Transcatheter Closure of Patent Foramen Ovale," by Sormere, M.D., et al., Mount Sinai Medical Center, New York, New York, publication date und Sormere, M.D., et al., Mount Sinai Medical Center, New York, New York, publication date und Sormere, M.D., et al., Mount Sinai Medical Center, New York, New York, publication date und Sormere, M.D., et al., Mount Sinai Medical Center, New York, New York, publication date und Sormere, M.D., et al., Mount Sinai Medical Center, New York, New York, publication date und Sormered Mount Sorme



FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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MTA	A75	6,623,518 B2		09/2	3/03	Tho	mpson et al	•	623	1.11	02/2	26/01
1	A76	2002/0120323 A1		08/2	9/02	Tho	mpson et al	•	623	1.11	09/1	7/01
	A77	2003/0208232 A1		11/0	6/03	Blae	ser et al.		606	213	05/0	06/02
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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EXAM. INIT.		DOCUMENT NUMBER	<del></del>	TE	NAM			CLASS	SUB CLASS	1	ING DATE II
MTA	A78	5,486,193	1/2	3/96	Bou	me et al.		606	194	5/1/	95
	A79	5,997,562	12	7/99	Zadı	no-Azizi <i>et</i>	al.	606	194	3/24	/98
-	A80	6,206,921	3/2	7/01	Mar	ino <i>et al</i> .	<del></del>	606	215	5/7/	99
	A81	6,322,548	11	/27/01	Рауг	ne et al.		604	500	3/31	/98
	A82	6,712,804	3/3	0/04	Rou	e et al.		604	500	7/13	/01
1	A83	6,712,836	3/3	0/04	Berg	g et al.		606	213	5/12	/00
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# THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB CLASS	FILING DATE IN APPROPRIATE
MTA	A84	2002/0010481A1	1/24/02	Jayaran	nan et al.	606	151	12/20/00
1	A85	2002/0169377A1	11/4/02	Khairkl	nahan et al.	600	433	3/15/02
	A86	3,077,733	1/19/63	Axe et a	1.		<u> </u>	
1	A87	4,394,864	7/26/83	Sandha	us	128	843	4/15/81
	A88	4,515,583	5/7/85	Sorich	<u> </u>	604	22	10/17/83
	A89	4,800,890	1/31/89	Cramer		600	434	12/26/85
	A90	5,049,153	9/17/91	Nakao 6	et al.	606	151	6/26/90
	A91	5,171,259	12/15/92	Inoue		606	213	10/17/91
	A92	5,304,185	4/19/94	Taylor		606	147	11/4/92
	A93	5,336,252	8/8/94	Cohen		607	119	6/22/92
	A94	5,486,185	1/23/96	Freitas	et al.	606	142	6/28/91
	A95	5,601,575	2/11/97	Measan	ner et al.	606	147	10/6/94
	A96	5,713,908	2/3/98	Jameel 6	et al.	606	148	2/24/97
	A97	5,827,216	10/27/98	Igo et al	,	604	21	6/7/95
	A98	5,836,311	11/17/98	Borst et	al.	128	897	9/29/95
	A99	5,885,238	3/23/99	Stevens	et al.	604	6.14	5/30/95
1	A100	5,927,284	7/27/99	Borst et	al.	128	898	8/21/97
	A101	6,015,378	1/18/00	Borst et	al.	600	37	10/3/96
	A102	6,142,975	11/7/00	Jalisi et	al.	604	170	12/31/98
	A103	6,152,918	11/28/00	Padilla	et al.	606	15	4/9/98
	A104	6,162,202	12/19/00	Sicurell	i et al.	604	272	10/26/98
	A105	6,200,313	3/13/01	Abe et a	l.	606	34	5/1/97
	A106	6,254,550	7/3/01	McNam	ara et al.	600	585	8/19/99
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#### THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: NMT-015

APPLICANT(S): Chanduszko et al.

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10/660,444

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IS APPROPRIATE
MTA	A107	6,302,903	10/16/01	Mulier et al.	607	105	7/6/99
1	A108	6,322,548	11/27/01	Payne, et al.	604	500	3/31/98
	A109	6,328,688	12/11/01	Borst et al.	600	37	1/28/00
	A110	6,332,877	12/25/01	Michels	604	263	5/12/98
	A111	6,334,843	1/1/02	Borst et al.	600	37	1/28/00
	A112	6,336,898	1/8/02	Borst et al.	600	37	1/28/00
	A113	6,350,229	2/26/02	Borst et al.	600	37	1/28/00
	A114	6,364,826	4/2/02	Borst et al.	600	37	6/16/99
	A115	6,364,876	4/2/02	Erb et al.	606	33	9/20/99
	A116	6,371,906 B1	4/16/02	Borst et al.	600	37	1/28/00
	A117	6,371,9 <b>7</b> 1 B1	4/16/02	Tsugita et al.	606	200	4/28/00
	A118	6,375,635	4/23/02	Moutafis et al.	604	43	5/18/99
	A119	6,394,948	5/28/02	Borst et al.	600	37	1/28/00
	A120	6,464,629	10/15/02	Boone et al.	600	37	9/15/99
	A121	6,464,630	10/15/02	Borst et al.	600	37	1/28/00
	A122	6,572,593 B1	6/3/03	Daum	604	264	11/2/95
	A123	6,606,513	8/12/03	Lardo et al.	600	411	2/1/01
	A124	6,652,486 B2	11/25/03	Bialecki et al.	604	110	9/27/01
	A125	6,663,592 B2	12/16/03	Rhad et al.	604	110	9/6/01
	A126	6,692,471 B2	2/17/04	Boudreaux	604	198	2/16/01
1	A127	6,730,062	5/4/04	Hoffman et al.	604	164	10/11/01

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#### THIRD SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT**

ATTORNEY DOCKET NO.: NMT-015

APPLICANT(S): Chanduszko et al.

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N	1TA	B2	WO 92/06733	4/30/92	PCT			10/15/91	N	Υ
	1	B3	WO 01/78596	10/25/01	PCT			4/18/00	N	Y
		B4	0553259B1	8/4/93	EP			10/15/91	N	Y
		B5	EP 1046375A1	10/25/00	EP			4/18/00	N	Y
				OTHER A	RT, JOURN	JAL AR	ΓICLES,	ETC.		
	AM. IT.	ОТН	ER DOCUMEN	TS: (Includi	ng Author, Ti	itle, Date,	Relevant	Pages, Place	of Publication	)
N	ITA	C8	International 9	Search Repor	rt, Internation	al Applica	tion No. P	CT/US03/2	8532, mailed o	n June 8,
	Ī	C9	Hansen. (June	) Metals that	Remember, S	Science 81:	44-47.			
		C10	Kotan, C. et al 6(2): 43-47	. (2001) Dian	neter and Pres	ssure of th	e Water-Je	t for Liver R	esection, Easte	r J. Med.,
		C11	Protsenko et a Proceedings o		•	ssue resec	tion: a nui	merical and	experimental S	tudy,
		C12	Ruiz et al. (200 Foramen Ova						eter Closure of 9-372.	Patent
		C13	Szili-Torok et echocardiogra		•	heart cath	erisation g	uided by int	racardiac	
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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A128	UA2002/0019648	2/14/02	Akerfeldt et al.	606	213	4/18/01
MTA	A129	UA2002/0026208	2/28/02	Roe et al.	606	190	12/7/00
+	A130	UA2002/0052572	5/2/02	Franco et al.	604	8	9/25/01
	A131	US2002/0077555	6/20/02	Schwartz	600	486	6/8/01
<del> </del>	A132	UA2002/0096183	7/25/02	Stevens et al.	128	898	3/15/02
<del> </del>	A133	UA2002/0107531	8/8/02	Schreck et al.	606	142	2/6/01
<del> </del>	A134	US2003/0028213	2/6/03	Thill et al.	606	200	7/30/02
<b> </b>	A135	UA2003/0059640	3/27/03	Marton et al.	428	544	8/2/02
	A136	UA2003/0100920	5/29/03	Akin et al.	606	213	9/4/02
ļ	A137	UA2003/0139819	7/24/03	Beer et al.	623	23	1/18/02
<del> </del>	A138	UA2003/0195530	10/16/03	Thill	606	151	4/21/03
<del> </del>	A139	5,334,217	8/2/94	Das	606	213	5/14/93
-	A140	5,620,461	4/15/97	Muijs Van De Moer	606	213	1/5/95
-	A141	5,800,516	9/1/98	Fine et al.	623	1	8/8/96
	A142	5,810,884	9/22/98	Kim	606	213	5/19/97
	A143	5,853,422	12/29/98	Huebsch et al.	606	213	9/23/97
	A144	5,861,003	1/19/99	Latson et al.	606	213	10/23/96
_}-	A145	5,928,250	7/27/99	Koike et al.	606	139	1/30/98
-}	A146		11/30/99		606	213	4/22/98
	A147		6/20/00	Das	606	213	11/26/96
	A148		9/5/00	Adams	606	139	5/26/98
	A149		8/7/01	Koike et al.	606	213	2/26/97
-	A149		5/14/02	Pugsley, Jr. et al.	606	139	11/12/99
	A150		6/11/02	Amplatz et al.	606	200	10/17/01

#### ATTORNEY DOCKET NO.: NMT-015 FORM PTO - 1449 APPLICANT(S): Chanduszko et al. FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT 10/660,444 SERIAL NO.: FILING DATE: September 11, 2003 3731 GROUP: U.S. PATENT DOCUMENTS FILING DATE IF SUB **CLASS** DATE NAME DOCUMENT EXAM. APPROPRIATE CLASS INIT. NUMBER 219 2/26/98 606 Michler et al. 11/19/02 6,482,224 A152 MTA 5/19/00 153 Laufer et al. 606 12/17/02 6,494,888 A153 1/12/01 606 213 Thill 4/22/03 6,551,344 A154 215 9/20/01 606 7/22/03 Yang et al. A155 6,596,013 1/5/01 213 606 9/23/03 Shaw et al. 6,623,508 A156 FOREIGN PATENT DOCUMENTS **ENGLISH** ABSTRACT CLASS SUB FILING DATE COUNTRY DOCUMENT EXAM. LANG DATE ONLY CLASS CODE NUMBER INIT. (Y/N) Υ Ν 8/22/97 PCT WO 9807375 2/26/98 **B6** MTA Υ N 10/9/98 PCT 4/22/99 WO 9918862 B7 10/9/98 N PCT 4/22/99 B8 WO 9918864 Υ 10/9/98 PCT 4/22/99 WO 9918870 В9 Υ 10/9/98 WO 9918871 4/22/99 **PCT** B10 1/11/02 Ν EΡ EP 1222897 7/17/02 B11 OTHER ART, JOURNAL ARTICLES, ETC. OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) EXAM. INIT. DATE CONSIDERED 7/22/2006 EXAMINER M Tom Anlowson

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A	A157	3,875,648	04/08/75	Bone	;					
•	A158	4,006,747	02/08/77	Kron	enthal et al.					
	A159	4,425,908	01/17/84	Simo	n					
	A160	4,696,300	09/29/87	Ande	erson					
	A161	4,915,107	04/10/90	Rebu	iffat et al.					
	A162	5,021,059	06/04/91	Kens	ey, et al.					
	A163	5,037,433	08/06/91	Wilk	et al.					
	A164	5,222,974	06/29/93	Kens	ey et al.					
	A165	5,236,440	08/17/93	Hlav	acek					
	A166	5,257,637	11/02/93	El G	azayerli					
	A167	5,282,827	02/01/94	Kens	ey et al.					
	A168	5,304,184	04/19/94	Hath	away et al.					
	A169	5,312,435	05/17/94	Nash	et al.					
	A170	5,354,308	10/11/94	Simo	on et al.					
	A171	5,411,481	05/02/95	Aller	et al.					
	A172	5,413,584	05/09/95	Schu	lze					
	A173	5,417,699	05/23/95	Kleir	n et al.					
	A174	5,478,353	12/26/95	You	1					
	A175	5,507,811	04/16/96	Koik	e et al.					
	A176	5,601,571	02/11/97	Moss	5					
	A177	5,618,311	04/08/97	Grys	kiewicz		1			

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MTA	A178	5,797,960	08/25/98	Ste	vens et al.				1			
	A179	5,823,956	10/20/98	Rot	h et al.							
	A180	5,829,447	11/03/98	Ste	vens et al.							
	A181	5,855,614	01/05/99	Ste	vens et al.		1					
	A182	5,861,003	01/19/99	Lat	son et al.							
	A183	5,893,856	04/13/99	Jac	ob et al.							
	A184	5,902,319	05/11/99	Dal	ey							
	A185	5,924,424	07/20/99	Ste	vens et al.							
	A186	5,989,268	11/23/99	Pug	sley, Jr. et al.				•			
	A187	5,993,475	11/30/99	Lin	et al.							
	A188	6,010,517	01/04/00	Bac	caro				1			
	A189	6,077,291	06/20/00	Das	3							
	A190	6,079,414	06/27/00	Rot	h							
	A191	6,113,609	09/05/00	Ada	ams							
	A192	6,132,438	10/17/00	Fle	ischman et al.							
	A193	6,165,183	12/26/00	Ku	ehn et al.							
	A194	6,165,204	12/26/00	Lev	rinson et al.							
	A195	6,206,895	03/27/01	Lev	rinson							
	A196	6,217,590	04/17/01	Lev	rinson							
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EXAM. INIT.		DOCUMENT NUMBER 6,277,138 6,277,139	DATE 08/21/01 08/21/01	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
MTA	A199			Levinson et al.	1	1	
1	A200			Levinson et al.		- -	
	A201	6,287,317	09/11/01	Makower et al.			
	A202	6,306,150	10/23/01	Levinson			
	A203	6,319,263	11/20/01	Levinson			
	A204	6,344,049	02/05/02	Levinson et al.			
	A205	6,348,041	02/19/02	Klint			
	A206	6,352,552	03/05/02	Levinson et al.			
	A207	6,364,853	04/02/02	French et al.			
	A208	6,375,625	04/23/02	French et al.			<u> </u>
	A209	6,379,342	04/30/02	Levinson			
	A210	6,387,104	05/14/02	Pugsley, Jr., et al.			
	A211	6,398,796	06/04/02	Levinson			
	A212	6,460,749	10/08/02	Levinson et al.			
	A213	6,488,706	12/03/02	Solymar			
	A214	2001/0034537	10/25/01	Shaw et al.			
1	A215	2001/0044639	11/22/01	Levinson			
1	A216	2001/0049492	12/06/01	Frazier et al.			
1	A217	2002/0010481	01/24/02	Jayaraman			
	A218	2002/0129819	09/19/02	Feldman et al.			
	A219	2002/0029048	03/07/02	Miller			

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FIFTH SUPPLEMENTAL INFORMATION						APPLICANT(S): Chanduszko et al.									
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MTA	A220	2002/0032462	03/14/02		Houser	et al.					,				
	A221	2002/0043307	04/18/02		Ishida e	et al.									
	A222	2002/0183786	12/05/02		Girton										
	A223	2003/0144694 A1	07/31/03		Chanduszko et al.										
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MTA	B12	WO 00/27292	05/18/00	PC	<u>г</u>				1			<del> </del>		Y	
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	B14	EP 1 013 227	06/28/00	EP										Y	
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C14 Nat'l Aeronautics and Space Administration, "55-Nitinol – The Alloy with a Memory: Its Physical Metallurgy, Properties, and Applications," A Report, pgs. 24-25.															
	C15	Kimura, A., et al., "Effects of Neutron Irradiation on the Transformation Behavior in Ti-Ni Alloys," Abstract, Proceedings of the Int'l Conf. On Mariensitic Transformations (1992) pgs. 935-940.													
	C16	Ramanathan, G., et al., "Experimental and Computational Methods for Shape Memory Alloys," 15 <sup>th</sup> ASCE Engineering Mechanics Conf., June 2-5, 2003.													
	C17	Shabalovskaya, S., "Surface, corrosion and biocompatibility aspects of Nitinol as an implant material, "Bio-Medical materials and Engineering, (2002) Vol. 12, pgs. 69-109.													
	C18	C18 Uchil, J. "Shape Memory Alloys – Characterization Techniques, "Pramana – Journal of Physics, (2002) Vol. 58, Nos. 5 & 6, pgs. 1131-1139.													
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